

Racial Equity Capital Fund Affiliations Questionnaire

The Rock Creek Racial Equity Capital Fund (“RECF” or “Fund”) requires that all applicants for funding (“Applicants”) disclose any affiliations with public officials and the close family members of public officials (collectively, “Public Officials”), and any affiliations with a director, officer or employee of Exelon Corporation or any of its subsidiaries (“Exelon” or “Exelon Utilities”). For purposes of this questionnaire a Public Official is any official, officer, or employee of, or candidate for, a federal, state, local or municipal government department or agency, whether elected, appointed, or otherwise employed, with a role or position that involves oversight of or influence over the interests of Exelon or any of its subsidiaries. The purpose of this requirement is to ensure appropriate assessment of any potential conflicts of interest that might arise from an investment funded by Exelon Utilities in a business in which a public official with influence over the activities of Exelon Utilities has a financial interest, or in which a director, officer or employee of Exelon has a financial interest. For reference, “affiliation” refers to a formal relationship, such as membership, partnership, ownership or similar contractual connection. “Close family members” includes spouses, domestic partners, children, siblings, parents, and in-laws.

Q1. Does a Public Official have more than a 10% ownership interest in the Applicant?

Response Options:

Yes

No

Q1.1. If you answered yes to the above question 1, please provide details.

Q2. Is any Public Official an officer or director of the Applicant?

Response Options:

Yes

No

Q2.1. If you answered yes to the above question 2, please provide details.

Q3. Will any non-employee broker, agent, or representative working on behalf of the Applicant be compensated for facilitating a financing arrangement between the Applicant and the RECF?

Response Options:

Yes

No

Q3.1. If you answered yes to the above question 3, is that person a Public Official?

Response Options:

Yes

No

Q3.2. If you answered yes to the above question 3.1, please provide details.

Q4. Does a director, officer or employee of Exelon have more than a 10% ownership interest in the Applicant?

Response Options:

Yes

No

Q4.1. If you answered yes to the above question 4, please provide details.

Q5. Is a director, officer or employee of Exelon an officer or director of the Applicant?

Response Options:

Yes

No

Q5.1. If you answered yes to the above question 5, please provide details.

Q6. Please provide the name, title and email address of the person submitting the responses to this questionnaire.